## Polymeric Colorants: Statistical Copolymers of Indigo Building Blocks with Defined Structures

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Dedicated to the memory of Prof. Dr. Heinrich Zollinger (1919 – 2005)

Statistical copolymers of indigo (1a) and N-acetylindigo (1b) building blocks with defined structures were studied. They belong to the class of polymeric colorants. The polymers consist of 5,5'-connected indigo units with keto structure and N-acetylindigo units with uncommon tautomeric indoxyl/indolone  $(=1H$ -indol-3-ol/3H-indol-3-one) structure (see 2a and 2b in Fig. 1). They formed amorphous salts of elongated monomer lengths as compared to monomeric indigo. The polymers were studied by various spectroscopic and physico-chemical methods in solid state and in solution. As shown by small-angleneutron scattering (SANS) and transmission-electron microscopy (TEM), disk-like polymeric aggregates were present in concentrated solutions (DMSO and aq. NaOH soln.). Their thickness and radii were determined to be ca. 0.4 and ca. 80 nm, respectively. From the disk volumes and by a Guinier analysis, the molecular masses of the aggregates were calculated, which were in good agreement with each other. Defined structural changes of the polymer chains were observed during several-weeks storage in concentrated DMSO solutions. The original keto structure of the unsubstituted indigo building blocks reverted to the more flexible indoxyl/indolone structure. The new polymers were simultaneously stabilized by intermolecular H-bonds to give aggregates, preferentially dimers. Both aggregation and tautomerization were reversible upon dissolution. The polymers were synthesized by repeated oxidative coupling of 1,1'-diacetyl-3,3'-dihydroxybis-indoles 5 (from 1,1'-diacetyl-3,3'-bis(acetyloxy)bis-indoles 6) followed by gradual hydrolysis of the primarily formed  $poly(N,N')$ -diacetylindigos) 7 (Scheme). N,N'-Diacetylbis-anthranilic acids 9 were isolated as by-products.

**1. Introduction.** – The industrial production of synthetic indigo  $(=2-(1,3-dih)$ dro-3oxo-2H-indol-2-ylidene)-1,2-dihydro-3H-indol-3-one; 1a) started around 1900. At that time, the first high-molecular-mass compounds composed of repeating indigo units were reported [1] and patented as vat dyes [2]. The structures suggested at that time seem to be strange for the contemporary chemists because the nature of polymers as covalently connected, repeating monomer units was not yet known [3]. In the second half of the 20<sup>th</sup> century, polymeric indigo dyes **I** (*Fig. 1,a*) were used for the localization of hydrolytic enzyme activity [4a], and their application for protecting fibers against

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Fig. 1. a) Indigo (1a), N-acetylindigo (1b), and N,N'-diacetylindigo (1c), and previously reported indigo polymers I [4-6], II [7], and III [5a] [9]. Colored spots of reoxidized leuco forms of indigo (1a) and of polymers 2a and 2b on filter paper, and photograph of a polymer sample of type 2b attracted toward a permanent magnet ( $\emptyset$ 5.5 mm). b) Statistical copolymers 2a and 2b (amorphous hydrates), polymers 2a' and 2b' (aggregates in  $(D_6)$ DMSO, preferentially dimers), salts 3b and 4b' (amorphous hydrates), and deacetylated polyanions of  $2a''$  and  $2b''$  (in 0.1m NaOD)

degradation was proposed [4b]. Indigo polymers I were investigated as functional dyes due to semiconductive  $[5a-e]$  and ferromagnetic  $[6]$  properties. Copolymers **II** with pyridine units [7] were published. Recently, indigo polymers were reported as electrode-active masses for electrochemical equipments [8], and highly conjugated polymers III incorporating the bond system of indigo were patented for the production of heat- and solvent-resistant fibers and films [9].

Due to incomplete reaction and poor solubility, the purification and characterization of indigo polymers were difficult [5a,f] [6]. Therefore, the objective of the presented investigations was the reproducible preparation of defined, homogeneous polymers consisting of indigo units, and the elucidation of their structures and properties, both in solid state and in solutions. Specifically, questions did arise as to: 1) do indigo polymers form intermolecular association, and if so, 2) what are the nature and consequences of these associations?

We present a method to obtain well-defined and homogeneous copolymers 2a and 2b. They consist of  $5.5'$ -connected<sup>1</sup>) indigo (1a) and N-acetylindigo (1b) building blocks and fall into the broader class of polymeric colorants [10]. They exhibit building blocks with keto structure and with the uncommon tautomeric indoxyl/indolon structure (indoxyl =  $1H$ -indol-3-ol, indolone =  $3H$ -indol-3-one). The polymers were studied by means of various spectroscopic and physicochemical methods in solid state and in solution including nuclear magnetic resonance (NMR). Their sodium and ammonium salts 3b and 4b' were prepared and characterized. In different solvents, the presence of disk-like polymeric aggregates was shown by small-angle-neutronscattering spectroscopy (SANS) and confirmed by transmission-electron microscopy (TEM). Their thickness and radii were determined, and the molecular masses were calculated. Defined structural changes of the polymers were observed during several weeks of storage in saturated DMSO solutions. The polymers 2a' and 2b' were stabilized by aggregation (preferentially dimerization) of polymer chains. Both aggregation and tautomerization were reversible upon dilution. Ferromagnetism and paramagnetic absorption of previously described CH<sub>2</sub>-bridged indigo polymers [6] could not be corroborated for the similarly structured polymer 2b.

**2. Results and Discussion.**  $-2.1$ . *Preamble*. Two statistical copolymers consisting of indigo and N-acetylindigo building blocks were investigated. The units are connected at the 5,5'-positions either directly (see 2a) or through a  $CH<sub>2</sub>$  group as spacer (see 2b). The polymers exhibit properties that differ significantly from those of the monomeric equivalents indigo  $(1a)$  [11] and *N*-acetylindigo  $(1b)$  [12], and from previously described indigo polymers  $[4-6]$ .

As known, the typical characteristics of indigo (1a) such as high melting point, low solubility, and bathochromic shift of the color band are the result of strong intermolecular association of neighboring trans indigo molecules with keto structure due to H-bonds between NH and  $C=O$  groups [11]. Indigo compounds with tautomeric

<sup>&</sup>lt;sup>1</sup>) Primes' are used in two different contexts: for trivial atom numbering (see Fig. 1,b), and as part of the (bold printed) compound numbers. For example, 2a'/2b' represent the tautomeric forms of 2a/2b and  $2a''/2b''$  the tautomeric and deacetylated forms of  $2a/2b$  (see Fig. 1,b).

indoxyl/indolone structure are highly uncommon [13]. An exception is the isoelectronic N-atom analog of indigo, indigo-diimine [14].

To the best of our knowledge, no X-ray analyses of the reddish-purple Nacetylindigo (1b) or the red  $N$ , $N$ -diacetylindigo (1c) [15] have been published. Therefore, that of N-(chloroacetyl)indigo  $(= N-(\text{chloroacetyl})-2,2'-\text{bindolinylidene}$ - $3,3'$ -dione = 1-(chloroacetyl)-2-(1,3-dihydro-3-oxo-2H-indol-2-ylidene)-1,2-dihydro-3H-indol-3-one) was used for comparative interpretations [16]. Although different bond lengths indicate unsymmetrical conjugation of the chromophore, the central  $C=C$ bond is retained confirming the keto structure of the molecule.

2.2. Syntheses. The syntheses of the polymers 2a and 2b are equivalent to those previously employed for the preparation of monomeric [17] and polymeric [5c,d] [6a] indigo dyes (Scheme). The key step was the repeated oxidative coupling [18] of the reactive 1,1'-diacetyl-3,3'-dihydroxybis-indoles 5 obtained from 1,1'-diacetyl-3,3'-bis- (acetyloxy)bis-indoles 6 through transesterification. In contrast to former preparations, we performed the polymerization process throughout under homogeneous conditions using highly diluted solutions of the starting materials  $6$  in EtOH (ca. 4 mm) with some  $CH<sub>2</sub>Cl<sub>2</sub>$  as co-solvent. The reactive species were gradually acquired by sequential addition of aqueous NaOH solution, while the mixture was heated under reflux and airbubbling. The starting volume was kept constant, evaporated solvent was replaced by H<sub>2</sub>O. The copolymers 2a and 2b were formed by gradual hydrolysis of the red primary products 7a and 7b, and 8a and 8b (higher acetylated polyindigos) as green and blue solutions, respectively, of their polyanions. After acidification with aqueous HCl solution, the neutral copolymers were precipitated as well-defined products of high molecular masses  $(20-30\%$  yield). Analogously, N-acetylindigo  $(1b)$  was formed by gradual hydrolysis of  $N$ , $N$ -diacetylindigo  $(1c)$ .

Due to cleavage of the central C=C bonds of 7, the hitherto unknown  $N$ N'diacetylbis-anthranilic acids 9 were formed as by-products. A similar degradation of the indigo skeleton is described for  $N, N'$ -diacetylindigo (1c) and indigo (1a) giving Nacetylanthranilic acid [19a] and anthranilic acid [19b], respectively (anthranilic acid  $=$ 2-aminobenzoic acid).

The tetraacetyl-substituted dihydroxybis-indoles 6a [5d] and 6b [6a] were accessible from the tetracarboxylic acids 10a and 10b, respectively, by ring closure in boiling  $Ac_2O/AcONa$ . The acid 10a was prepared by alkylation of benzidinedicarboxylic acid 11 with ClCH<sub>2</sub>CO<sub>2</sub>H [5d]. The benzidine derivative 11 was obtained from 2nitrobenzoic acid 12 through reduction with Zn in alkaline solution, followed by benzidine rearrangement [20]. The CH<sub>2</sub>-bridged tetraacid **10b** was synthesized from N-(2-carboxyphenyl)glycine (13) and HCHO [1a]. The acid 13 was prepared by alkylation of anthranilic acid (14) [21].

2.3. Properties in Solid State. Color and Composition. The freshly precipitated polymers 2 form voluminous gelatine-like masses, green for 2a, and blue for 2b. After drying, they give amorphous, dark-colored solids with about 0.5 Ac groups and 2 (for 2a) and 1.5 (for 2b) molecules of  $H<sub>2</sub>O$  per monomeric unit. This is similar to a previously described polyindigo [5d].

Thermal Stability. Investigations by means of differential-scanning calorimetry/ thermogravimetric analysis (DSC/TGA) reveal higher thermal stabilities for 2 as compared to the monomeric equivalents 1 (dec.  $601^{\circ}$  for 2a and 553° for 2b; dec. 438°





for 1a [22] and  $185 - 186^\circ$  for 1b [12c]). At temperatures up to 450°, the polymers show a continuous, slow loss of weight corresponding to the elimination of ketene (originating from Ac groups) and  $H<sub>2</sub>O$ . For comparison, the previously described polyindigo is stable in air up to  $350^{\circ}$  [5d].

Solubility. The polymers 2 are almost insoluble in organic solvents and in  $H_2O$ . In DMSO (see also [5d]) and in diluted aqueous bases, they are remarkably soluble after some time of exposure to the solvent  $(3-4 \text{ g/l or } ca. 10 \text{ mm of monomeric equivalent}).$ 

Infrared Spectra (IR). Higher-frequency NH absorptions of 2 indicate weaker intermolecular H-bonds of the polymers as compared to indigo  $(1a)$ : 3350 cm<sup>-1</sup> for 2a and  $2b$  and 3244 cm<sup>-1</sup> for  $1a$ . They show a broad, strong absorption at 3500–2000 cm<sup>-1</sup>  $(H<sub>2</sub>O$  and/or OH) and the amide C=O absorption at 1685 cm<sup>-1</sup>. Low-frequency ring- $C=O$  bands at 1620 cm<sup>-1</sup> verify the predominance of polar structures. The number of bands is reduced significantly as compared to indigo  $(1a)$  [22]. Adjacent peaks are merged to broader signals. These observations confirm previous reports regarding polymeric indigo dyes [5e].

<sup>15</sup>N- and <sup>13</sup>C-NMR Spectra. The solid-state <sup>15</sup>N-NMR spectrum of **2b** (Fig. 2) shows clearly three sharp signals of different intensities. This provides strong arguments for the keto structure of the unsubstituted indigo unit  $A-A'$  ( $\delta -282.9$  for N(1<sup>A,A'</sup>)] and for the tautomeric indoxyl/indolone structure of the *N*-acetylindigo unit  $B-B'$  ( $\delta$  -250.5 for  $N(1^B)$  and  $-212.3$  for  $N(1^B)$ ). For comparison, the <sup>15</sup>N-NMR resonance of indigo (1a) appears at  $\delta$  – 278.1 (*Fig.* 2).

The solid-state <sup>13</sup>C-NMR spectrum of **1a** shows the expected pattern of sharp signals (see *Exper. Part*) [23]. The signals of polymers 2a and 2b are broader and not as clearly arranged.



Fig. 2. Solid-state <sup>15</sup>N-NMR spectra of indigo (1a) and of polymer 2b

Mass Spectra (MS). The polymers 2 could neither be characterized by electronionization (EI) nor by electro-spray-ionization (ESI) or matrix-assisted laserdesorption-ionization (MALDI) MS. Some low-molecular fragments with isatine  $(=1H$ -indole-2,3-dione) end groups were observed by ESI-MS.

*Magnetic Properties.* In contrast to a previously described  $5,5'-CH$ -bridged polyindigo [6], polymer 2b exhibits no ferromagnetic properties or paramagnetic absorption. However, certain samples prepared by us under less controlled conditions (higher concentrations and/or spontaneous addition of NaOH) are indeed attracted by a permanent magnet (see Fig. 1, a). They show a defined hysteresis loop at 40 K which we attribute to some minority phase [24].

2.4. Properties in Solution. VIS Spectra. The interaction of directly attached chromophores of polymer 2a causes a significant red shift of a less intensive, broadened color band  $(\lambda_{\text{max}} (\varepsilon) 660 \text{ nm} (6000)$  in DMSO; see Fig. 3, a). This is similar to previously described polyindigo [5e] and 5,5'-diaminoindigo dyes [25] and points to a predominantly polar ground-state and to less polar resonance structures in the first exited state (see Fig. 3, b). Otherwise, both wavelength and intensity of the color band of the CH<sub>2</sub>bridged polymer 2b ( $\lambda_{\text{max}}(\varepsilon)$  642 nm (12000) in DMSO) are in a range rather typical for indigo dyes. Beer's law was obeyed in a concentration range of  $10^{-5}$  to  $10^{-7}$  M of monomeric equivalents excluding absorption changes by aggregation.



Fig. 3. a) VIS Spectra of polymers 2a and 2b (in DMSO). b) Representation of the polar ground-state of 2a

<sup>1</sup>H-NMR Spectra. At least the CH<sub>2</sub>-bridged polymer **2b** is suitable for <sup>1</sup>H-NMR investigations in  $(D_6)$ DMSO solutions [26] as suggested by distinct and relatively sharp resonances. In contrast, the signals of 2a are weaker and significantly broadened due to hindered dynamics of the polymer chains consisting of directly connected building blocks. The respective signals are throughout the spectrum downfield shifted by 0.1 to 0.3 ppm as compared to 2b.

The spectra of the polymers confirm the conclusions regarding the structures of the building blocks as derived from the solid-state <sup>15</sup>N-NMR spectra. The keto structure of the unsubstituted indigo units  $A-A'$  is validated by a distinct  ${}^{1}J(N,H)$  coupling of 108.4  $\pm$  0.5 Hz (for **2b**). The H-N(1<sup>*A,A'*</sup>) signals ( $\delta$  10.60 and 10.39) and the upfieldshifted  $H - C(7^{A,A'})$  signals ( $\delta$  7.46 and 7.24) for 2a and 2b, respectively, correspond to those of indigo  $(1a)$  [23]. The tautomeric indoxyl/indolone structure of the Nacetylindigo units B-B' is verified by the OH $-C(3<sup>B</sup>)$  signals ( $\delta$  11.08 and 10.94) and the downfield-shifted  $H - C(7^B)$  signals ( $\delta$  8.54 and 8.34). The  $H - C(7^B)$  resonances correspond to those of the starting materials 6.

The quantity of N-acetylindigo units was determined from the intensity ratio of the Ac and  $H - C(7^{A,A'})$  signals. Due to overlapping of aromatic-proton signals, no distinct resonances could be identified for 2a and 2b in the range from  $\delta$  7.6 – 8.1 and  $\delta$  7.3 – 7.6, respectively.

2.5. Anionic Polymers in Aqueous NaOH. Amorphous Salts 3b and 4b'. Acidity. The titration of freshly precipitated suspensions of 2 in dilute HCl solution with NaOH indicates mono-deprotonation of ca. 50% of the building blocks. Shallow, but distinct turning points of the titration curves around pH 4 are observed for both polymers. The shape is typical for polyelectrolytes where the protons are gradually released with increasing pH. Therefore, distinct  $pK_a$  values could not be established. The polymers are slightly more acidic than  $1H$ -indol-3-ol (pK<sub>a</sub> 10.46 [15]) due to the presence of Ac groups.

<sup>1</sup>H-NMR Spectra and Deacetylation. Fresh solutions of  $2$  in 0.1m NaOD do not show any distinct <sup>1</sup>H-NMR resonance. The partial deprotonation causes signal broadening due to exchange reactions. However, over a period of about three months storage of the samples, complete deacetylation occurs, and the slightly blue solutions of the polyanions 2a" and 2b" are evident. Their <sup>1</sup>H-NMR spectra show signal reduction due to *de facto* symmetrical structures. Three sharp aromatic-proton signals are observed. Especially the H $-C(7,7')$  signals are highly upfield-shifted ( $\delta$  6.88 and 6.73) comparable to the  $H - C(7<sup>A</sup>)$  shift of ammonium salt 4b'. Attempts to force the acetate hydrolysis at elevated temperatures failed. Instead, hydrolytic cleavage of indigo units resulted in yellow solutions. The neutral deacetylated polymers 2a" and 2b" did not precipitate after acidification.

VIS Spectra. Through deprotonation of 2a, neither the position nor the intensity of the color band is significantly changed  $(\lambda_{\text{max}} (\varepsilon) 657 \text{ nm} (4600) \text{ in } 0.1 \text{m NaOH})$ . This is probably caused by similar electronic structures of the neutral and the anionic polymers derived from 2a. To the contrary, the intensity of the somewhat blue-shifted color band of the anionic polymer derived from 2b is strongly reduced  $(\lambda_{\text{max}} (\epsilon) 627 \text{ nm} (2700) )$  in 0.1M NaOH). Beer's law was obeyed in a concentration range of  $10^{-5}$  to  $10^{-7}$  M of monomeric equivalents excluding absorption changes by aggregation.

Amorphous Salts 3b and 4b'. The sodium and the ammonium salts 3b and 4b' were isolated as amorphous hydrates. The results of the combustion analysis indicate the presence of ca. 6 and 2.5 molecules of  $H_2O$  per monomeric unit for 3b and 4b',

respectively. The salts exhibit characteristic  $^1$ H-NMR spectra in  $(D_6)$ DMSO. The signal pattern of 3b correlates to that of the neutral polymer 2b with the characteristic  $H-N(1^{A,A'})$  signal of the keto-structured building blocks. The OH $-C(3^B)$  signal is missing, the proton being replaced by  $Na^+$ . To the contrary, the ammonium salt  $4b'$ shows neither NH nor OH resonances but a highly upfield-shifted  $\mathrm{H{- C}}(\mathrm{7}^{\mathrm{A}})$  signal ( $\delta$ 6.64). This points to a structure similar to 2b' where both acidic protons are replaced by  $NH_4^+$  (see Fig. 1,b).

The differences may originate from the preparation of the materials. The gelatinelike sodium salt 3b was dried under neutral conditions allowing protonation to give the keto-structured  $A-A'$  building blocks. In contrast, the ammonium salt  $4b'$  was isolated by straight evaporation of its basic solution in aqueous ammonia.

2.6. Structural Changes and Formation of Dimeric Chains of  $2a'$  and  $2b'$ . The <sup>1</sup>H-NMR spectra of 2a and 2b indicate clearly distinct, time depending structural changes over a period of about six months storage in saturated  $(D_6)$ DMSO solutions (ca. 10 mm of monomeric units). The keto structure of the unsubstituted  $A - A'$  building blocks reverts to the tautomeric indoxyl/indolone structure in 2a' and 2b' (Fig. 4, a). Consequently, the significant  $H-N(1^{A,A'})$  and  $H-C(7^{A,A'})$  resonances of 2a and 2b are gradually decreasing in favor of OH–C(3<sup>A</sup>) and H–C(7<sup>A</sup>) signals of 2a' and 2b' (see Fig.  $4, b-d$ ). The new polymer chains are stabilized by aggregation. Intermolecular Hbonds may cause the required energy gain to establish the tautomeric building blocks at the cost of intramolecular H-bonds present in the former keto-structured units. The central single bonds of 2a' and 2b' seem to afford more flexibility of the polymer chains and thus less energy requirement for positioning towards aggregation.

The aggregation/tautomerization was followed by optical spectroscopy of freshly diluted, aggregate-containing samples too. The color bands  $\lambda_{\text{max}}$  of 2a (660 nm) and 2b  $(642 \text{ nm})$  are shifted to 634 and 725 nm (sh) for 2a' and 2b', respectively, during 6 months. Whereas the blue-shifted color band of 2a' does not display significant optical characteristics, the red-shifted absorption of  $2b'$  indicates species with *J*-type (coplanar) orientation of the chromophores similar to indigo (1a) [27]. Both aggregation and tautomerization of the polymers are reversible. After a few minutes following dilution of aggregate containing samples of 2a' and 2b', alterations of the optical spectra are evident (*Fig. 5*). And within 10 h, the original absorptions of  $2a$  and **2b** are almost reestablished (see Fig.  $5,b$  and c, resp.). Complete recovery is prevented by partial degradation [28]. The spectral evolution for both polymers during disaggregation reveals distinct isosbestic points. Consequently, the aggregation of polymer chains was likely a clean process leading to formation of dimers [27].

2.7. X-Ray Powder Diffraction of Polymeric Salts. The goal of the study of polymeric salts 3b and 4b' was to examine structural features in X-ray powder-diffraction experiments. The diffraction from both salts is heavily influenced by diffuse scattering as well as some effects for the beam-stop that have also been observed in the lowest  $2\theta$ region. However, beside these influences, some low ordered structural features of the component polymer can still be seen from the diffraction diagrams (see Fig. 6). In the diffraction pattern of the ammonium salt 4b', the first broad peak at  $d = 14.67 \text{ Å}$  is probably a result of repetition of monomeric units, although the calculated monomer length of 13.90 Å is slightly less (length of **1a**, 12.49 Å [11b] plus one C-C bond, 1.41  $\AA$ ). One possible explanation for this difference is the elongation of the central



Fig. 4. a) Slow structural changes of polymer 2b (decreasing  $H-MMR$ -signal intensities) to give Hbridged dimers of 2b' (increasing <sup>1</sup>H-NMR-signal intensities) as followed by <sup>1</sup>H-NMR spectra (3-6 months, ca. 10 mm of monomeric units in  $(D_6)$ DMSO); polymer **2b** ( $\delta(H_a)$  7.24 and  $\delta(H_a)$  10.39)  $\rightarrow$ dimers of 2b' ( $\delta$ (H<sub>t</sub>) 6.83 and  $\delta$ (H<sub>g</sub>) 10.96)). b) <sup>1</sup>H-NMR Spectrum of a fresh sample of 2b. c)  $H-NMR$  Spectrum of the same sample after 3 months of storage, and  $d$ ) after 6 months of storage.

bonds of the monomeric units of  $4b'$ . The d values of 7.72 and 4.00  $\AA$  can be related approximately to one half and one quarter of the length of the monomer. However, the d value of 5.49  $\AA$  does not originate from the repetition of any fraction of the monomer but is probably related to intermolecular spacing between two consecutive monomers, which is calculated from the reported structure of indigo (1a) [11b] and found to be 5.77 Å. The broad peaks found in the diffraction pattern of the sodium salt  $3b$  can be explained by similar considerations. The strong peak at  $2\theta = 58.38^{\circ}$  for both samples probably originates from some residual inorganic material with a small unit-cell volume.

2.8. Estimation of the Molecular Mass by Hydrodynamic Experiments. For polymer 2b, a high molecular mass of well above  $10<sup>6</sup>$  g/mol was established by gel-permeation chromatography (GPC). GPC was performed with solutions of 2b and indigocarmine  $(5.5'$ -disulfonic acid disodium salt of **1a**) in 0.1m NaOH. As standards cobalamin (*M* 1382 g/mol) and blue dextran  $(M 2 \cdot 10^6 \text{ g/mol})$  were used. Elution of polymer 2b was observed in the range of blue dextran indicating a size-exclusion volume of well above 106 g/mol. Under the same conditions, indigocarmine elutes with cobalamin. Differential ultracentrifugation (differential UC) in 0.1m NaOH (pelletation) as well as UC with a sucrose gradient (comparatively broad blue band) confirmed the mass estimate







Fig. 5. a) Time-depending disaggregation of dimeric 2a' and 2b' upon dilution to give 2a and 2b, respectively, as followed by VIS spectra (10 h, ca. 0.05 mm of monomeric units in DMSO). b) Dimers of **2a'**  $(\lambda_{\text{max}} 634 \text{ nm}) \rightarrow polymer$  **2a**  $(\lambda_{\text{max}} 660 \text{ nm})$ . c) Dimers of 2b'  $(\lambda_{\text{max}} 725 \text{ nm}, \text{sh}) \rightarrow polymer$  2b  $(\lambda_{\text{max}} 634 \text{ nm})$ 642 nm)

for 2b. The results confirm 2b as a polymer that could be in an aggregated form. They motivated us to further structural analyses by small-angle-neutron-scattering (SANS), transmission-electron microscopy (TEM), and dynamic-light-scattering (DLS) experiments.

2.9. Mesoscopic Structural Characterization. As a first step, the density  $\rho$  of the polymers was determined by UC on a sucrose gradient to be 1.218 g/cm<sup>3</sup> and 1.210 g/  $cm<sup>3</sup>$  for 2a and 2b, respectively (see Fig. 7). The density of crystalline indigo (1a) is



Fig. 6. X-Ray powder diffraction pattern for 3b and 4b' zoomed over the 20 range  $4-91^\circ$ . The positions of the broad peaks are shown by arrows, and the corresponding  $d$  values are in  $\AA$ .



Fig. 7. Ultracentrifugation of 2a and 2b on a 30-70% sucrose gradient in 0.1m NaOH: Density distribution  $\varrho$  [g/cm<sup>3</sup>] of 2a ( $\times$ ) and 2b (+) as a function of the extinctions at positions of the color bands ( $E$  at  $\lambda_{\max}).$  Photograph after centrifugation.

1.48 g/cm<sup>3</sup> [29], and of polymeric indigo dyes  $1.3 - 1.5$  g/cm<sup>3</sup> [5a], thus the values for the polymers are in the lower range of densities for such systems.

For SANS measurements, nearly saturated solutions of neutral polymers in  $(D_6)$ DMSO, and from incompletely deprotonated polymers in 0.1m NaOD (2.3 – 3.6 g/l, see Table) were used. In Fig. 8, the scattering intensity  $I$  is given as a function of the



Fig. 8. SANS Intensities for a) polymer 2a (in 0.1m NaOD ( $\Box$ ) and in  $(D_6)$ DMSO ( $\triangle$ )) and b) polymer 2b (in 0.1m NaOD ( $\Box$ ) and in  $(D_6)$ DMSO  $(\triangle)$ ) as a function of the magnitude of the scattering vector q at 25°. Solid lines are fitted curves according to *Eqns.* 3 and 4.

Table. Structural Parameters Obtained from the SANS Analysis. Radius of gyration  $R<sub>e</sub>$  and molecular mass  $M(I_0)$ , obtained from the *Guinier* analysis, and radius R, thickness D, and M obtained from fitting the disk model to the data.

	2a (NaOD/D <sub>2</sub> O)	$2a ((D_6)$ DMSO)	$2b$ (NaOD/D <sub>2</sub> O)	$2b ((D_6)$ DMSO)
$c$ [g/l]	2.33	3.55	3.0	3.45
$R_{\rm g}$ [nm]	33.9	35.3	28.0	30.7
$M(I_0)$ [g/mol]	$1.57 \cdot 10^6$	$6.8 \cdot 10^{6}$	$3.6 \cdot 10^{6}$	$9.0 \cdot 10^6$
$R$ [nm]	82.5	73.9	68.4	70.2
$D$ [nm]	0.20	0.27	0.34	0.35
$M \,[\text{g/mol}]$	$1.53 \cdot 10^6$	$3.6 \cdot 10^{6}$	$3.6 \cdot 10^{6}$	$3.9 \cdot 10^{6}$

magnitude  $q$  of the scattering vector. These data confirm the presence of large colloidal aggregates in both basic aqueous solution as well as in DMSO for polymers 2a and 2b. In general, the scattering curves look similar for both polymers and in both solvents. They exhibit a continuous increase of  $I$  towards low  $q$  and a relatively weak scattering at large q, which can be interpreted such that there are no structural repeating units in the nm range. A pronounced intensity increase in the low  $q$  range is observed that does not yet level off toward a constant value and thereby indicates the presence of rather large aggregates.

For a first analysis of the SANS results, the *Guinier* approximation of *Eqn. 1* was used [30] for the intensity data in the low q range  $(q<0.07 \text{ nm}^{-1})$ . From this approximation, we deduced the radius of gyration,  $R<sub>g</sub>$ , of the aggregates present and the intensity at zero-scattering angle,  $I_0$ , to characterize the mesoscopic structure present in these solutions. From  $I_0$  the effective molecular mass  $M_{\text{eff}}$  of the aggregates can be calculated by Eqn. 2, where  $Q_{\text{agg}}$  is the density of the polymers,  $c_{\text{g}}$  the mass concentration, and  $SLD_{\text{agg}}$  and  $\widetilde{SLD}_0$  the scattering length and densities of polymers and solvent, respectively. In the case of polymers 2a and 2b, they are for  $D_2O$  27.4  $\cdot 10^9$ and  $28.8 \cdot 10^9$  cm<sup>-2</sup>, and for  $(D_6)$ DMSO  $52.8 \cdot 10^9$  and  $63.6 \cdot 10^9$  cm<sup>-2</sup>, respectively. The obtained data are summarized in the Table. It should be noted that the values for both molecular mass and radius of gyration  $R_{\varrho}$  are only lower limits as it was not possible to guarantee sufficiently low  $q$  values for comfortable Guinier limits. However, it is clear from the performed analysis that the solutions contain definitely relatively large aggregates with molecular masses well above  $10<sup>6</sup>$  g/mol. In addition, it is interesting to note that for both indigo polymers the molecular mass of their aggregates is always somewhat larger for the solutions in  $(D_6)$ DMSO compared to those in 0.1m basic D<sub>2</sub>O solution. This further indicates that we do not observe the scattering of individual polymers but rather of aggregates of such polymers.

$$
I(q) = I_0 \cdot \exp\left(-\frac{q^2 \cdot R_g^2}{3}\right) \tag{1}
$$

$$
M_{\rm eff} = I_0 \cdot \frac{N_{\rm Av} \cdot \varrho_{\rm agg}^2}{c_g \cdot (SLD_{\rm agg} - SLD_0)^2}
$$
 (2)

The scattering curves show a characteristic slope of  $q^{-2}$  in the low q range, which is typical for flat structures. Therefore, we fitted our data with a model of homogeneous

disks, for which the scattering intensity is given by *Eqn.* 3 [30] [31], where <sup>1</sup>N is the number density of the aggregates, V their volume,  $\Delta r$  the difference of the scattering length densities of solvent and aggregate, and  $P(q)$  the form factor of the aggregates, that for randomly oriented disks of radius R and thickness D is given by Eqn. 4 [32].

Therein,  $J_1$  is the *Bessel* function of 1<sup>st</sup> order. In addition, we also accounted for the experimental wavelength distribution of 11% FWHM (full width at half maximum) by smearing into in the fitting procedure the theoretically calculated curves (*Eqns.* 3 and 4) with a triangular wavelength distribution of 11% FWHM.

$$
I(q) = {}^{1}N \cdot V^{2} \cdot \Delta \varrho^{2} \cdot P(q)
$$
\n<sup>(3)</sup>

$$
P(q, R, D) = \int_0^{\pi/2} \left\{ \left[ \frac{2J_1(qR\sin\phi)}{qR\sin\phi} \right] \left[ \frac{\sin((qD/2)\cos\phi)}{(qD/2)\cos\phi} \right] \right\}^2 \sin\phi d\phi \tag{4}
$$

This analysis provides very good fits to the absolute intensity of the scattering data (shown as solid lines in Fig. 8, a and b). The fitted parameters are summarized in the Table and show that the radii R of the disk-like aggregates are always in the range of 70 – 80 nm. The obtained thickness D is  $0.2 - 0.4$  nm, *i.e.*, the sheets are just of onemolecular thickness. From the disk volume, the molecular mass of the aggregates can be calculated, and in particular for the samples in 0.1m NaOH, they are in very good agreement with those obtained by the Guinier analysis, while somewhat lower values are found for the solutions in  $(D_6)$ DMSO. The formation of disks can be explained by a structural arrangement in which the indigo polymers form flat sheets in which polymer molecules are directly associated to their neighboring molecules, thereby forming extended sheets, as for instance observed in amyloid self-assemblies [33].

The presence of disk-like aggregates is well corroborated by the electronmicrographs obtained by transmission-electron microscopy (TEM) (Fig. 9) after drying a solution of 2b on a grid. Here, we see clearly elongated objects with lengths up to ca. 200 nm. This coincides very well with the diameter of the disks obtained from the SANS experiments. In TEM, such disks will show up mostly when viewed from the side because in the view oriented with their face to the electron beam – a thickness of only 0.3 to 0.4 nm – they will appear almost transparent. Accordingly, we can only observe disks when they are substantially tilted or are totally or partially involuted. However, the structural picture is in quantitative agreement with the structural parameters deduced by the disk model from the SANS data which was further confirmed by dynamic light-scattering measurements that yielded hydrodynamic radii of 62.4 nm and 29.4 nm for indigo polymer 2a and 2b, respectively, in 0.1m NaOH.

3. Conclusions. – Two statistical copolymers are investigated consisting of 5,5' connected indigo and N-acetylindigo units. The building blocks are either directly joined or connected through a  $CH<sub>2</sub>$  bridge as a spacer. Methods controlling the polymerization process were developed to obtain homogeneous and well-defined products of high molecular masses. The polymers are soluble in DMSO and – incompletely deprotonated – in diluted aqueous bases. They consist of indigo units with keto structure and N-acetylindigo units with tautomeric indoxyl/indolone structure.



Fig. 9. Transmission-electron micrograph of a dried sample of 2b in 0.1m NaOH

They form amorphous salts of elongated monomer lengths as compared to monomeric indigo. The polymers were characterized by means of spectroscopic and other physicochemical methods, in solid state and in solution. For concentrated solutions, evidence for large, disk-like polymeric particles of a thickness of just a few molecular layers was obtained by small-angle neutron scattering (SANS) and confirmed by transmissionelectron microscopy (TEM). The molecular masses, calculated from the disk volumes, agree with the ones obtained from the Guinier analysis. – During several weeks of storage in concentrated DMSO solutions, defined structural changes of the polymers are observed. The newly formed polymers consist throughout of building blocks with the more flexible, tautomeric indoxyl/indolon structure, probably simultaneously stabilized by intermolecular H-bonds through formation of dimers. Both aggregation and tautomerization are reversible upon dissolution.

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## Experimental Part

General. All solvents were distilled before use. Column filtration: Merck silica gel 60 (SiO<sub>2</sub>; 0.040 – 0.063 mm). Differential scanning calorimetry/thermogravimetric analysis (DSC/TGA): Netzsch DSC

200, heating rate 10°/min. VIS Spectra: Specord 200 (Analytik Jena AG);  $\lambda_{\text{max}}$  in nm,  $\varepsilon$  in  $M^{-1}$  cm<sup>-1</sup>. IR Spectra: *Perkin-Elmer Paragon 1000 FT*;  $\tilde{\nu}$  in cm<sup>-1</sup>. NMR Spectra: *Jeol JNM-EX 270* (<sup>1</sup>H at 270 and <sup>13</sup>C at 67.9 MHz), *Bruker DRX 500* (<sup>1</sup>H at 500 MHz), and *Bruker Avance II* (<sup>13</sup>C at 75.5 MHz and <sup>15</sup>N at 30.4 MHz);  $\delta(H)$  and  $\delta(C)$ , and  $\delta(N)$  in ppm rel. to Me<sub>4</sub>Si and MeNO<sub>2</sub> as internal standard, resp., *J* in Hz; rel. signal intensities for polymers refer to one monomer unit. assignments supported by Jmod, H,H-COSY, and HMQC-COSY experiments. MS: EI with Varian-MAT-312 at 70 eV and LCT ESI-TOF with Micromass spectrometer; in  $m/z$  (rel. %). Elemental analysis: Vario EL III (Elementar).

General Procedure A (GPA). A stirred suspension of 10 (1 equiv.), Ac<sub>2</sub>O (5 equiv.), and AcONa (anh., 25 equiv.) was heated under reflux to  $170^{\circ}$  and kept boiling for 30 min. After cooling, the mixture was concentrated, the residue taken up with toluene and again concentrated. The procedure was repeated several times. The final residue was extracted with  $CH_2Cl_2$ . After evaporation of all volatiles, the crude product was dissolved in CH<sub>2</sub>Cl<sub>2</sub> and filtered through the 50-fold amount of  $SiO<sub>2</sub>$  with toluene/ AcOEt 4 : 1: 19 – 24% of 6.

General Procedure B (GP B). To a stirred soln. of  $6(1 \text{ mmol})$  in CH<sub>2</sub>Cl<sub>2</sub> (25 ml), EtOH (250 ml) was added. Upon heating under reflux and air-bubbling, 1m NaOH (15 ml) was added in five portions during 5 h. Heating and air-bubbling was continued for 5 h. The starting volume was maintained, evaporated solvent was replaced by H<sub>2</sub>O. After addition of 1M HCl (30 ml) to the colored soln. ( $pH \le 2$ ), the gelatine-like precipitate was collected by centrifugation (20 min, 10000 rpm), washed with H<sub>2</sub>O, EtOH, and Et<sub>2</sub>O, and dried for 16 h at  $30\degree/0.005$  Torr:  $19-29\%$  of 2. Evaporation of the EtOH supernatant gave  $32 - 37\%$  of 9.

General Procedure C (GP C). Dimers of 2a' and 2b' were formed within  $3-6$  months storage of conc. solns. of  $2a$  and  $2b$ , resp., in  $(D_6)$ DMSO at r.t.

General Procedure D (GP D). The polyanions of  $2a''$  and  $2b''$  were formed within 3 months storage of 2a and 2b, resp., in 0.1m NaOD at r.t.

General Procedure E (GP E). Polymer 2 (ca. 0.3 mmol) was dissolved in 0.1m NaOH (25 ml, 2.5 mmol). After 24 h storage at r.t., 1M HCl (3.0 ml, 3.0 mmol) was added ( $pH \le 2$ ). Titration was performed with 0.1m NaOH (pH electrode HI 9321, HANNA Instruments).

Benzidine-3,3'-dicarboxylic Acid  $(=4,4'-Diamino[1,1'-biphenyl]-3,3'-dicarboxylic Acid; 11)$ . Prepared according to  $[20b]$  from 2-nitrobenzoic acid (12). DSC/TGA: (234) 292 $^{\circ}$  (dec.) ( $[20a]$ : 300 $^{\circ}$ ).  $1\,\text{H-NMR}$  (270 MHz,  $(D_6)$ DMSO): 7.27 (d,  $3J = 8.4, 2 \text{ H}$ , H $-C(5,5')$ ); 7.75 (dm,  $3J = 8.4, 2 \text{ H}$ , H $-C(6,6')$ ); 8.04 (sm, 2 H, H-C(2,2')); 8.89 (NH<sub>2</sub>, CO<sub>2</sub>H). <sup>13</sup>C-NMR (67.9 MHz, (D<sub>6</sub>)DMSO): 116.8; 121.3; 128.9; 131.6; 131.9; 143.1; 168.8.

 $N, N-Bis (carboxy methyl) benzidine-3,3'-dicarboxylic Acid (=4,4'-Bis/(carboxy methyl)amino]-[1,1'-1]$ biphenyl]-3,3'-dicarboxylic Acid; 10a). The acid 10a was prepared from 11 and ClCH<sub>2</sub>CO<sub>2</sub>H according to  $[5d]$ . DSC/TGA: (180) 221° (dec.) ([5d]: 230–232°). <sup>1</sup>H-NMR (270 MHz, (D<sub>6</sub>)DMSO): 4.04 (s, 2 CH<sub>2</sub>); 6.68 (d,  $\frac{3}{J} = 8.8$ , 2 H, H-C(5,5')); 7.71 (dm,  $\frac{3}{J} = 8.8$ , 2 H, H-C(6,6')); 7.99 (sm, 2 H, H-C(2,2')). <sup>13</sup>C-NMR (67.9 MHz, (D<sub>6</sub>)DMSO): 44.9; 111.6; 113.1; 127.0; 128.5; 132.5; 149.2; 169.9; 172.3.

 $6,6'$ -Bis[(carboxymethyl)amino]-3,3'-methanediyldibenzoic Acid (= 3,3'-Methylenebis[6-[(carboxymethyl)amino]benzoic Acid]; **10b**). The acid **10b** was prepared from **13** and HCHO according to [1a]. DSC/TGA:  $(205)$  260° (dec.) ([1a]:  $206 - 207$ °).  $R_f$  0.56 (AcOEt/AcOH 99:1). IR (KBr): 3375, 1700, 1680. <sup>1</sup>H-NMR (270 MHz,  $(D_6)$ DMSO): 3.69 (s, 1 CH<sub>2</sub>); 3.95 (s, 2 CH<sub>2</sub>); 6.53 (d, <sup>3</sup>J = 8.5, 2 H,  $H-C(5,5')$ ; 7.20 (dd,  $3J=8.5$ ,  $4J=2.2$ , 2 H,  $H-C(4,4')$ ); 7.61 (d,  $4J=2.2$ , 2 H,  $H-C(2,2')$ ). <sup>13</sup>C-NMR  $(67.9 \text{ MHz}, (D_6) \text{ DMSO})$ : 39.3; 44.6; 110.9; 112.4; 128.4; 131.8; 135.5; 148.9; 170.1; 172.3.

1,1'-Diacetyl-3,3'-bis(acetyloxy)-1H,1'H-5,5'-biindole (=1,1'-Diacetyl-[5,5'-bi-1H-indole]-3,3'-diol 3,3-Diacetate; 6a). According to GPA, with 10a (4.95 g, 12.7 mmol), AcONa (6.7 g, 82 mmol), and Ac<sub>2</sub>O (30.0 ml, 317 mmol). 1.04 g (19%) of 6a. Pale brownish solid. DSC/TGA: 222.5° (dec.) ([5d]: 211 – 212°).  $R_f$  0.42 (toluene/AcOEt 4:1). IR (KBr): 3203, 1750, 1699, 1212. <sup>1</sup>H-NMR (270 MHz,  $(D_6)$ DMSO): 2.42 (s, 2 AcO); 2.64 (s, 2 AcN); 7.76 (dm,  $3J=8.5$ , 2 H, H $-C(6,6')$ ); 7.83 (sm, 2 H,  $H-C(4,4')$ ); 7.94 (s, 2H,  $H-C(2,2')$ ); 8.43 (d, 3J = 8.5, 2H,  $H-C(7,7')$ ). <sup>13</sup>C-NMR (67.9 MHz, (D6)DMSO): 21.5; 24.2; 116.3; 117.02 (2C); 124.9; 125.6; 132.5; 134.3; 136.3; 169.0; 170.0. EI-MS: 432  $(43, M^+), 390$  (52,  $[M - C_2H_2O]^+$ ), 348 (100,  $[M - 2 C_2H_2O]^+$ ), 306 (92,  $[M - 3 C_2H_2O]^+$ ), 264 (76,  $[M 4 C<sub>2</sub>H<sub>2</sub>O<sup>+</sup>$ ), 207 (27), 43 (72).

1,1'-Diacetyl-3,3'-bis(acetyloxy)-5,5'-methanediyl-1H,1'H-bisindol (=5,5'-Methylenebis[1-acetyl-1Hindol-3-oll 3.3'-Diacetate; **6b**). According to  $GPA$ , with **10b** (23.93 g, 59.5 mmol), AcONa (31.7 g, 387 mmol), and Ac<sub>2</sub>O (140 ml, 1.49 mol):  $6.34 \text{ g}$  (24%) of **6b**. Pale brownish solid. A sample was recrystallized from toluene. DSC/TGA:  $244.2^{\circ}$  (dec.).  $R_1$  0.48 (toluene/AcOEt 4:1). IR (KBr): 3200, 1760, 1690, 1200. <sup>1</sup> H-NMR (270 MHz, (D6)DMSO): 2.37 (s, 2 AcO); 2.59 (s, 2 AcN); 4.14 (s, 1 CH2); 7.25  $(dd, {}^{3}J = 8.5, {}^{4}J = 1.6, 2 \text{ H}, \text{H} - \text{C}(6,6'))$ ; 7.41  $(d, {}^{4}J = 1.6, 2 \text{ H}, \text{H} - \text{C}(4,4'))$ ; 7.87  $(s, 2 \text{ H}, \text{H} - \text{C}(2,2'))$ ; 8.24  $(d, 2 \text{ H}, \text{H} - \text{C}(2,2))$  ${}^{3}J = 8.5$ , 2 H, H – C(7,7')). <sup>13</sup>C-NMR (67.9 MHz, (D<sub>6</sub>)DMSO): 21.2; 24.2; 40.9; 116.3; 116.6; 117.9; 124.4;  $127.3$ ; 131.7; 134.0; 137.5; 168.8; 169.8. EI-MS: 446 (16,  $M^+$ ), 404 (52,  $[M - C_2H_2O]^+$ ), 362 (100,  $[M 2 \text{ } C_2 \text{H}_2\text{O} |^+$ ), 320 (95,  $[M-3 \text{ } C_2 \text{H}_2\text{O}]^+$ ), 278 (94,  $[M-4 \text{ } C_2 \text{H}_2\text{O}]^+$ ), 146 (23), 43 (83). HR-MS: 446.1460 ( $M^+$ , C<sub>25</sub>H<sub>22</sub>N<sub>2</sub>O<sub>6</sub><sup>+</sup>; calc. 446.1478).

Indigo  $(=2-(1,3-Dihydro-3-oxo-2H-indol-2-ylidene)$ -1,2-dihydro-3H-indol-3-one; 1a). <sup>13</sup>C-NMR (75.5 MHz, solid state): 113.9 (C(7), C(7')); 121.0 (C(2), (C(2'), C(3a), C(3'a), C(5), C(5')); 125.2  $(C(4), C(4'))$ ; 135.2  $(C(6), C(6'))$ ; 153.3  $(C(7a), C(7a))$ ; 188.7  $(C(3), C(3'))$ . <sup>15</sup>N-NMR (30.4 MHz, solid state): - 278.08 (N(1), N(1')).

 $Poly[3,3'-dioxo-1H,1'H-2,2'-b iindolylidene-5,5'-diyl with 0.5 (1-Acetyl) and 2H<sub>2</sub>O]$  (=  $(C_{34}H_{18}N_4O_5 \cdot 4H_2O)_{n/2}$ , i.e.,  $PolyI(1,3-dihydro-3-oxo-2H-indol-5-yl-2-ylidene)(1,3-dihydro-3-oxo-2H-1)$ indol-5-yl-2-ylidene)(1-acetyl-3-hydroxy-1H-indole-5,2-diyl)(3-oxo-3H-indole-2,5-diyl) Hydrate (1 : 4)]  $(2a \cdot 4H_2O)$ , and  $4,4$ <sup>-</sup>Bis(acetylamino)[1,1'-biphenyl]-3,3'-dicarboxylic Acid (9a). According to GP B, with 6a (517 mg, 1.19 mmol) and 1m NaOH (17.9 ml, 17.9 mmol): 109 mg (29%) of  $2a \cdot 4H_2O$ . Dark green amorphous solid. DSC/TGA: (410) 600.9° (dec.). Turning point of the titration curve: ca. pH 4 (see GP E). VIS (DMSO): 660 (6000). VIS (0.1m NaOH): 657 (4600). IR (KBr): 3500 (br.), 3365 (sh, NH), 1686m (CONH), 1619s (CO), 1467, 1406, 1320, 1185, 1118, 1073, 818, 580. <sup>1</sup> H-NMR (500 MHz,  $(D_6)$ DMSO): among others 2.14 (s, 1.5 H, Ac); 7.46 (br., 1 H, H $-C(7^{AA'})$ ); 7.8–8.1 (several signals); 8.18  $(br., 0.5 H, H-C(4^B))$ ; 8.54 (br., 0.5 H, H-C(7<sup>B</sup>)); 10.66 (br., 1 H, H-N(1<sup>A,A'</sup>)); 11.07 (br., 0.5 H,  $OH-C(3^B)$ ). <sup>13</sup>C-NMR (75.5 MHz, solid state): among others 171.0; 187.8. Anal. calc. for  $(C_{17}H_{13}N_2O_{4.5})_n$  $((317.29))$ . C 64.35, H 4.13, N 8.83; found: C 64.05, H 4.18, N 8.41.

The EtOH supernatant was evaporated: 135 mg (32%) of 9a. Dark brown solid. DSC/TGA: (120) ca. 200° (dec.). IR: 3000 (br.), 1664, 1586, 1497, 1370, 1298, 1220, 787, 672. <sup>1</sup>H-NMR (270 MHz,  $(D_6)$ DMSO): 2.15 (s, 2 Ac); 7.90 (dd,  $3J = 8.5$ ,  $4J = 1.5$ , 2 H, H $-C(6,6')$ ); 8.18 (d,  $4J = 1.5$ , 2 H,  $H-C(2,2'))$ ; 8.54  $(d, {}^{3}J=8.5, 2\text{ H}, \text{H}-\text{C}(5,5'))$ ; 11.06 (s, 2 NH); 12.92 (s, 2 COOH). <sup>13</sup>C-NMR (67.9 MHz, (D6)DMSO): 25.7; 117.8; 121.2; 128.8; 132.3; 133.0; 140.5; 168.9; 169.7. ESI-TOF-MS: 355.55 ([M -H]<sup>-</sup>). EI-MS: 356 (0, M<sup>+</sup>), 320 (100, [M – 2 H<sub>2</sub>O]<sup>+</sup>), 278 (30). HR-MS: 320.0792 (C<sub>18</sub>H<sub>12</sub>N<sub>2</sub>O<sub>4</sub><sup>+</sup>; calc. 320.0797).

Dimer of Poly[(3-hydroxy-1H-indole-5,2-diyl)(3-oxo-3H-indole-2,5-diyl)(1-acetyl-3-hydroxy-1H-in $dole-5,2-diyl$ (3-oxo-3H-indole-2,5-diyl)] (2a') in  $(D_6)$ DMSO. See GP C. VIS after 3 months: 640  $(3500)$ . VIS after 6 months: 634 (2900). <sup>1</sup>H-NMR (270 MHz,  $(D_6)$ DMSO): among others 2.14 (s, 1.5 H, Ac); 6.99  $(dm, {}^{3}J = 8.1, 0.5$  H, H  $-C(7^{A})$ ); 7.8 – 8.1 (several signals); 8.16  $(sm, 0.5$  H, H  $-C(4^{B})$ ); 8.54  $(dm,$ 0.5 H, H-C(7<sup>b</sup>)); 11.05–11.15 (1 H, OH–C(3<sup>b</sup>), OH–C(3<sup>A</sup>)).

 $Poly[(3-hydroxy-(1D)-1H-indole-5,2-diyl sodium salt (1:1)](3-oxo-3H-indole-2,5-diyl)]$  (2a") in 0. Im NaOD. See GP D. <sup>1</sup>H-NMR (270 MHz): 6.88 (d, <sup>3</sup>J = 8.2, 2 H, H - C(7,7')); 7.89 (dd, <sup>3</sup>J = 8.2, <sup>4</sup>J = 2.3, 2 H, H – C(6,6')); 7.98 (d,  $4J = 2.3$ , 2 H, H – C(4,4')).

 $Poly[3,3'-dioxo-1H,1'H-2,2'-biindolvlidene-5,5'-divlmethylene with 0.5 (1-Acetvl) and 1.5 H<sub>2</sub>O]$  $((=(C_{36}H_{22}N_4O_5 \cdot 3 H_2O)_{n/2}),$  i.e.,  $Poly[(1,3-dihydro-3-oxo-2H-indol-5-ylidene)(1,3-dihydro-3-oxo-2H-indol-5-ylidene)]$ 2H-indol-5-yl-2-ylidene)methylene(1-acetyl-3-hydroxy-1H-indole-5,2-diyl)(3-oxo-3H-indole-2,5-diyl) methylene] Hydrate  $(1:3)$ ] (2b $\cdot$ 3 H<sub>2</sub>O), and 6,6'-Bis(acetylamino)-3,3'-methanediyldibenzoic Acid  $(= 3,3'-Methylenebis/6-(acetylamino)benzoic Acid$ ; 9b). According to GP B, with 6b (447 mg, 1.01 mmol) and 1M NaOH (15.2 ml, 15.2 mmol): 63 mg (19%) of  $2b \cdot 3H_2O$ . Dark blue amorphous powder. DSC/TGA:  $(410)$  553.4 $\textdegree$  (dec.). Turning point of the titration curve: ca. pH 4 (see GP E). VIS (DMSO): 642 (12000). VIS (0.1m NaOH): 627 (2700). IR (KBr): 3370 (br.), 3360 (sh, NH), 1684m  $(CONH)$ , 1620s  $(CO)$ , 1481, 1404, 1296, 1172, 1118, 1066, 820, 448. <sup>1</sup>H-NMR (500 MHz,  $(D<sub>6</sub>)DMSO)$ ): among others 2.09 (s, 1.5 H, Ac); 3.91 (s, 2 H, CH<sub>2</sub>); 7.24 (d,  ${}^{3}J$  = 7.9, 1 H, H – C(7<sup>A,A</sup>)); 7.3 – 7.6 (several signals); 7.82 (s, 0.5 H, H – C(4<sup>B</sup>)); 8.34 (d, <sup>3</sup>J = 8.6, 0.5 H, H – C(7<sup>B</sup>)); 10.39 (s, 1 H, H – N(1<sup>A,A'</sup>)); 10.86 (s, 0.5 H, OH $-C(3^B)$ ). <sup>1</sup>H-NMR (500 MHz, (D<sub>6</sub>)DMSO): 10.39 (d, <sup>1</sup>J(N,H) = 108.4 ± 0.5). <sup>15</sup>N-NMR

 $(30.4 \text{ MHz}, \text{solid state}): -282.94 \text{ (N}(1^{AA}))$ ;  $-250.54 \text{ (N}(1^B))$ ;  $-212.22 \text{ (N}(1^B))$ . <sup>13</sup>C-NMR (75.5 MHz, solid state): among others 41.0; 169.8; 187.5. Anal. calc. for  $(C_{18}H_{14}N_2O_4)_n$  ((322.31)<sub>n</sub>): C 67.07, H 4.38, N 8.69; found: C 67.15, H 4.36, N 8.04.

The EtOH supernatant was evaporated: 140 mg (37%) of 9b. Dark brown solid. DSC/TGA: (120) 210° (dec.). IR: 3000 (br.), 1680, 1589, 1508, 1370, 1300, 1228, 1200, 1100, 800, 750, 650. <sup>1</sup>H-NMR  $(270 \text{ MHz}, (\text{D}_6) \text{ DMSO})$ : 2.08 (s, 2 Ac); 3.90 (s, 1 CH<sub>2</sub>); 7.40 (d, <sup>3</sup>J = 8.1, 2 H, H – C(4,4')); 7.77 (s, 2 H,  $H-C(2,2'))$ ; 8.33 (d, 3J = 8.1, 2 H, H  $-C(5,5'))$ ; 10.93 (s, 2 NH); 12.94 (s, 2 COOH). <sup>13</sup>C-NMR (67.9 MHz, (D6)DMSO): 25.5; 39.7; 117.4; 120.9; 131.4; 134.8; 135.8; 139.5; 168.8; 169.8. TOF-ESI-MS: 393.56  $([M+Na]^+)$ . EI-MS: 370 (0, M<sup>+</sup>), 334 (85,  $[M-2H_2O]^+$ ), 319 (20), 292 (30), 43 (100). HR-MS: 334.0950 ( $C_{19}H_{14}N_2O_4^+$ ; calc. 334.0954).

Dimer of Poly[3-hydroxy-1H-indole-5,2-diyl)(3-oxo-3H-indole-2,5-diyl)methylene(1-acetyl-3-hy $drows-1$ H-indole-5,2-diyl)(3-oxo-3H-indole-2,5-diyl)methylene] (2b') in DMSO. See GP C. VIS after 3 months: 642 (2200), 725 (sh). VIS after 6 months: 642 (1600), 725 (sh). <sup>1</sup> H-NMR (270 MHz,  $(D_6)$ DMSO): 2.08 (s, 1.5 H, Ac); 3.90 (s, 2 H, CH<sub>2</sub>); 6.83 (d, <sup>3</sup>J = 8.1, 0.5 H, H – C(7<sup>4</sup>)); 7.3 – 7.6 (several signals); 7.82 (m, 0.5 H, H-C( $4^B$ )); 8.34 (m, 0.5 H, H-C( $7^B$ )); 10.86 (br. s, 0.5 H, OH-C( $3^B$ )); 10.96 (s,  $0.5$  H, OH-C(3<sup>A</sup>)).

Poly[ (1,3-dihydro-3-oxo-2H-indol-5-yl-2-ylidene)(1,3-dihydro-3-oxo-2H-indol-5-yl-2-ylidene) methylene[1-acetyl-3-hydroxy-1H-indole-5,2-diyl Sodium Salt (1 : 1)](3-oxo-3H-indole-2,5-diyl)methylene] Hydrate  $(1:12)$ ]  $(=[\text{Na}^+(\text{C}_{36}\text{H}_{21}\text{N}_4\text{O}_5)^{-1}2 \text{H}_2\text{O}]_n$ ; 3b  $\cdot 12 \text{H}_2\text{O}$ ). To a soln. of of 2b  $\cdot 3 \text{H}_2\text{O}$ (140 mg, 0.43 mmol) in 0.1m NaOH (5 ml) was added  $H_2O$  (50 ml). After storage for 16 h at r.t., EtOH (500 ml) was added under stirring. The resulting gelatine-like precipitate was collected by centrifugation  $(20 \text{ min}, 10000 \text{ rpm})$ , washed several times with EtOH and dried for 16 h at  $30\degree/0.005$  Torr: 66 mg (37%) of 3b · 12 H2O. Dark blue amorphous powder. IR (KBr): 3386, 1618, 1483, 1375, 1297, 1172, 1118, 1065, 816, 559. <sup>1</sup>H-NMR (500 MHz, (D<sub>6</sub>)DMSO): 2.09 (s, 1.5 H, Ac); 3.92 (s, 2 H, CH<sub>2</sub>); 7.24 (d, <sup>3</sup>J = 7.9, 1 H,  $H - C(7^{A,A'})$ ); 7.3 – 7.6 (several signals); 7.81 (s, 0.5 H,  $H - C(4^B)$ ); 8.34 (d, <sup>3</sup>J = 8.6, 0.5 H,  $H - C(7^B)$ ); 10.39  $(s, 1 \text{ H}, \text{H}-\text{N}(1^{A,A}))$ . Anal. calc. for  $(C_{18}H_{22.5}N_2Na_{0.5}O_{8.5})_n$  ((414.38)<sub>n</sub>): C 52.17, H 5.47, N 6.76; found: C 52.20 H 4.80, N 6.42.

Poly[(3-hydroxy-1H-indole-5,2-diyl Ammonium Salt (1:1)](3-oxo-3H-indole-2,5-diyl)methylene[1acetyl-3-hydroxy-1H-indole-5,2-diyl Ammonium Salt (1 : 1)][3-oxo-3H-indole-2,5-diyl)methylene] Hydrate  $(1:5)$ ]  $(=[2 \text{ NH}_{4}^{+} \ (C_{36}H_{20}N_4O_5)^{2-} \cdot 5 \ H_2O]_n$ ; **4b**'  $\cdot 5 \ H_2O$ ). To a soln. of **2b**  $\cdot 3 \ H_2O$  (66 mg, 0.16 mmol) in 25% aq. NH<sub>3</sub> soln. (2.0 ml) was added H<sub>2</sub>O (8 ml) under stirring. After 16 h storage at r.t., all volatiles were evaporated, and the residue dried for 16 h at  $30\degree/0.005$  Torr: 80 mg (42%) of 4b'· 5 H2O. Dark blue, crooked needles. IR (KBr): 3380, 3175, 3005, 2900, 1618, 1482, 1403, 1369, 1294, 1169, 1117, 1064, 818, 558. <sup>1</sup>H-NMR (270 MHz, (D<sub>6</sub>)DMSO): among others 2.00 (s, 1.5 H, Ac); 3.99, 3.92, 3.84  $(3s, CH_2, NH_4^*); 6.64 (d, {}^3J = 8.0, 0.5 H, H - C(7^4)); 7.2 - 7.6$  (several signals); 7.78 (s, 0.5 H, H-C(4<sup>B</sup>)); 8.32  $(d, {}^{3}J = 8.6, 0.5 \text{ H}, \text{H} - \text{C}(7^{B}))$ . Anal. calc. for  $(C_{18}H_{19}N_3O_5)_n$   $((357.36)_n)$ : C 60.50, H 5.36, N 11.76; found: C 61.13, H 4.93, N 10.69.

Poly[(3-hydroxy-(1D)-1H-indole-5,2-diyl sodium salt (1 : 1)](3-oxo-3H-indole-2,5-diyl)methylene] (2b'') in 0.1m NaOD. See GP D: <sup>1</sup>H-NMR (270 MHz): 3.74 (s, 2 H, CH<sub>2</sub>); 6.73 (d, <sup>3</sup>J = 8.1, 2 H,  $H-C(7,7')$ ); 7.08 (dd,  ${}^{3}J=8.1, {}^{4}J=1.8, 2 H, H-C(6,6'))$ ; 7.53 (d,  ${}^{4}J=1.8, 2 H, H-C(4,4'))$ ).

X-Ray Powder Diffraction. Nonius-PDS120 diffractometer with  $CuK_{a1}$  radiation ( $\lambda$  1.540598 Å), equipped with an incident-beam germanium  $\left[Ge(11)\right]$  monochromator and a  $120^{\circ}-2^{\circ}$  position-sensitive detector. Samples were sealed in a 0.3 mm glass capillary (*Hilgenberg*). The diffraction pattern at  $20^{\circ}$  was recorded in transmission mode with a total counting time of 90 and 96 h for 3b and 4b', resp.

Dynamic Light Scattering (DLS). Brookhaven goniometer BI-200SM, Coherent Compass 315M 100 mW laser (wavelengths 522-550 nm), and *Brookhaven* autocorrelator BI-9000AT. The hydrodynamic radii of incompletely deprotonated polymers in 0.1m NaOH were determined by DLS experiments to be 62.4 and 29.4 nm for 2a and 2b, resp.

Gel-Permeation Chromatography (GPC). FPLC Chromatographic system on Sephacryl S-200 HR (Amersham Biosciences, Freiburg, Germany). GPC was performed with solns. of indigocarmine (5,5' disulfonic acid disodium salt of 1a) and 2b in 0.1m NaOH. Cobalamin ( $M$  1382 g/mol) and blue dextran (M  $2 \cdot 10^6$  g/mol) were used as standards. Elution of 2b was observed in the range of blue dextran

Differential UC. TH-641 Rotor, 35000 rpm at r.t. Solns. of indigocarmine and 2b in 0.1m NaOH were centrifuged for 50 h. A dark colored pellet of 2b was evident on the bottom of the cup. Under the same conditions, indigocarmine did not show pelletation.

Differential UC on a 50% Sucrose Solution. A soln. of polymer 2b in 0.1m NaOH was charged on a 50% soln. of sucrose in 0.1m NaOH. After 50 and 100 h centrifugation, instead of pelletation, a comparatively broad blue band was present.

Sucrose-Gradient UC. TFT-4590 Rotor, with 94 ml thinwall polyallomer cups, 35000 rpm at 4°. Three cups filled with a 5-step gradient of sucrose (30-70% in 0.1m NaOH) were used. Two of them were charged with polymer solns. in 0.1m NaOH (0.51 mg/10 ml for 2a and 0.52 mg/10 ml for 2b). After 47 h ultracentrifugation, each polymer formed one band, green and sharp for  $2a$ , and blue and slightly broadened for 2b. After fractionation of the samples from bottom to top, the relative polymer concentrations were determined from the extinctions at the positions of the color bands, and the relative sucrose concentrations from the refractive indices [34]. Assuming a sedimentational equilibrium, the densities  $\varrho$  were established for 2a and 2b to 1.218 and 1.210 g/cm<sup>3</sup>, resp.

Small-Angle-Neutron-Scattering (SANS) Measurements. Instrument V4 (Hahn-Meitner-Institut, Berlin). Measurements were performed at  $25^{\circ}$  with nearly sat. solns. of polymers 2a and 2b in  $(D<sub>6</sub>)$ DMSO and in 0.1m NaOD/D<sub>2</sub>O. For the experiments, a neutron wavelength of 6 Å with a full width at half maximum of 11% was selected, and sample-to-detector distances of 1, 4, and 12 m were employed, which allowed to cover a q range of  $0.025 - 4$  nm<sup>-1</sup>. The solns. were contained in *Hellma* quartz cuvettes with a pathway of 2 mm. The data were recorded by means of a two-dimensional gas detector with  $128 \times$ 128 pixels of 0.5 cm size. Data reduction was performed with the 2D data and BerSANS following a regular procedure to account for the electronic noise (measured with cadmium), the transmission and the thickness of the sample, the detector efficiency (determined with the scattering of a H<sub>2</sub>O sample of 1 mm thickness), and removing the contribution from the container and the background [35]. An absolute scale was obtained with H<sub>2</sub>O as a secondary standard. All corrected data were isotropic and were consequently radial-averaged. Data accumulated at different configurations were finally merged to give the final spectra.

Transmission-Electron-Microscopy (TEM) Measurements. Carbon-coated copper grid (200 mesh; Science Services, München, Germany) and EM922O mega EFT (energy-filtered transmission) electron microscope (Zeiss, Jena); accelerating voltage 200 kV with a beam current of ca. 1  $\mu$ A. A drop (ca. 2  $\mu$ ) of the sample was put on the hydrophilized carbon-coated copper grid. The sample was allowed to adsorb to the activated carbon film for ca. 30 s. Subsequently, most of the liquid was removed with blotting paper leaving a thin film stretched on the carbon film which was then air-dried for several minutes. The specimen was inserted into the sample holder and transferred to the transmission-electron microscope.

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